

AUDIT & MEMBER STANDARDS COMMITTEE WORK PROGRAMME FOR 2020/21

Item	22 July 2020	7 October 2020	12 November 2020	3 February 2021	25 March 2021	27 April 2021	Deferred Reason
FINANCE							
Annual Governance Statement	√					√	
Annual Treasury Management Report	√						
Mid-Year Treasury Management Report			√				
Accounting Policies and Estimation Uncertainty		√			√		
Statement of Accounts		√					
Treasury Management Statement and Prudential Indicators				√			
Audit & Member Standards Committee Practical Guidance				*√			*Only relevant if there is updates to guidance so may not be needed
CIPFA Financial Management Code			√				
Redmond Review Report				√			
INTERNAL AUDIT							
Annual Report for Internal Audit (including year-end Internal Audit progress report)	√					√	
Internal Audit Plan, Charter & Protocol 2020/21	√				√		
Quality Assurance and Improvement Programme /Public Sector Internal Audit Standards	√						
Risk Management Update	√		√	√		√	
GDPR					√		
Counter Fraud Update Report including Counter Fraud & Corruption/Whistleblowing/Anti-Money Laundering/Prevention of Tax Evasion Policies			√*				*Deferred from April

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LEGAL AND DEMOCRATIC							
Chair of the Audit Committee's Annual Report to Council	√					√	
Annual report on Exceptions and Exemptions to Procedure Rules 2019/20					√		Annual Review
Overview of the Council's Constitution in respect of Contract Procedure Rules						√	Annual Review
GDPR/Data Protection Policy			√				
Annual Report of the Monitoring Officer - Complaints							Annual Report due July (Allows for full year reporting)
RIPA reports policy and monitoring	√						Annual Report
Review of the Effectiveness of the Audit & Member Standards Committee						√	
Independent External Investigation					√		
Terms of Reference							
EXTERNAL AUDITOR							
Audit Findings Report for Lichfield District Council 2019/2020		√					
The Annual Audit letter for Lichfield District Council				√			
Certification Work for Lichfield District Council for Year Ended 31 March 2020				√			

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Audit Plan (including Planned Audit Fee 2020/21)					√		
Informing the Audit Risk Assessment - Lichfield District Council	√				√		
Audit Plan for Lichfield District Council 2019/20	√						
Audit Committee LDC Progress Report and Update – Year Ended 31 March 2021				√		√	
Annual Audit Fee Letter	√						
Private meeting with the Internal and External Auditors, Grant Thornton						√	